



## Confidential Request for Consideration and Application

PLEASE ANSWER ALL QUESTIONS

**SUBMIT COMPLETED FORM, or FAX COMPLETED FORM TO 425-869-6711, or MAIL TO:**

Russell Watergardens Franchise Corp.

Attention: Director of Franchising

16541 Redmond Way #436-C

Redmond, WA 98052

Russell Watergardens & Koi is looking for the best candidates to become our Franchise Strategic-Partners. In order to determine if we are right for each other, we ask you to complete the following Request for Consideration questionnaire along with the application below. Please take your time in responding to the questions. Thank you in advance for your responses.

**1. Please describe in detail your leadership experience.**

**2. What is your ultimate dream?** This can be anything you want, for example, retire early, travel the world, sending your kids to college, starting your own charity, etc. (Example: At age 65 I would like to be debt free, have \$700,000.00 earning interest, and have a business that I can sell for about \$500,000.00 or more.)

**3. What does your dream look like?**

Describe it in as much detail as possible. (Continuing with the example above: "We're financially secure, happy and we travel first class between 5-star hotels around the world.")

**4. What does your dream feel like?**

Again, use as much detail as you can. For example: "It feels so good to be free and to be close to my family. The stress and strain I used to wake up with when I struggled to make the financial ends meet is now gone. I feel totally relaxed and in control for the first time in my life".

**5. Write down 5 compelling reasons why you must transform your dream to reality.** For example: My kids are getting older and we must spend more time together before they grow up and move away.

6. Write down 5 things that must change in order for you to achieve your dream.

7. Why does a Russell Watergardens & Koi Franchise appeal to you?

8. Why should you be granted a Russell Watergardens & Koi Franchise?

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## Request for Consideration Form

This is an application to become a Franchisee of Russell Watergardens & Koi. If there will be more than one party in this venture, each individual or party must complete an application. All information provided herein must be current within 30 days and will be kept confidential. Submission of the application does not obligate either party in any manner.

### PERSONAL

|  |                      |                   |
|--|----------------------|-------------------|
| Full Name  |                      |                   |
| Address  |                      |                   |
| City   | State                | Zip               |
| Home Phone   | Office Phone         | Cell Phone        |
| Best Number to Call: <input type="checkbox"/> Home <input type="checkbox"/> Office <input type="checkbox"/> Cell |                      | Best Time to Call |
| Email Address  |                      | Fax               |
| Marital Status   | Number of Dependents | Ages              |
| U.S. Citizen: <input type="checkbox"/> Yes <input type="checkbox"/> No   | If no, name country  |                   |
| Social Security Number   | Date of Birth        |                   |

### EDUCATION

|                                      |                                  |  |        |
|--------------------------------------|----------------------------------|--|--------|
| <input type="checkbox"/> High School | <input type="checkbox"/> College | <input type="checkbox"/> Graduate School | Degree |
| Name of Last School Attended         |                                  |  |        |

### BUSINESS EXPERIENCE

Please give present or last position first, and provide the last 5 years of work/business history. Attach resume as necessary.

1. Company \_\_\_\_\_ City, State \_\_\_\_\_

Position \_\_\_\_\_ Employed From \_\_\_\_\_ To \_\_\_\_\_

Major Accomplishments \_\_\_\_\_

2. Company \_\_\_\_\_ City, State \_\_\_\_\_

Position \_\_\_\_\_ Employed From \_\_\_\_\_ To \_\_\_\_\_

Major Accomplishments \_\_\_\_\_  
\_\_\_\_\_

3. Company \_\_\_\_\_ City, State \_\_\_\_\_

Position \_\_\_\_\_ Employed From \_\_\_\_\_ To \_\_\_\_\_

Major Accomplishments \_\_\_\_\_  
\_\_\_\_\_

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## PERSONAL FINANCIAL STATEMENT

**Balance Sheet As of (date) \_\_\_\_\_**

|  | <u>Assets</u><br>(Value) |                               | <u>Liabilities</u><br>(Balance) |
|--|--------------------------|-------------------------------|---------------------------------|
| Cash on Hand & in Banks                | \$ _____                 | Short Term Notes Payable      | \$ _____                        |
| Other Sources of Cash:                 | \$ _____                 | Bank Lines of Credit          | \$ _____                        |
| _____                                  | \$ _____                 | _____                         | \$ _____                        |
| _____                                  | \$ _____                 | _____                         | \$ _____                        |
| Sub-Total                              | \$ _____                 | Sub-Total                     | \$ _____                        |
| Marketable Securities &<br>Stock/Bonds | \$ _____                 | Credit Balance                | \$ _____                        |
| Vested Profit Sharing                  | \$ _____                 | Loans Payable                 | \$ _____                        |
| Notes Receivable                       | \$ _____                 | Other Debts & Obligations     | \$ _____                        |
| Real Estate (Primary Residence)        | \$ _____                 | Real Estate Mortgages         | \$ _____                        |
| Real Estate (Other)                    | \$ _____                 | Other Liabilities (describe): | \$ _____                        |
| Business Interests                     | \$ _____                 | _____                         | \$ _____                        |
| Other Assets (describe):               | \$ _____                 | _____                         | \$ _____                        |
| _____                                  | \$ _____                 | _____                         | \$ _____                        |
| _____                                  | \$ _____                 | _____                         | \$ _____                        |
| _____                                  | \$ _____                 | _____                         | \$ _____                        |
| Sub-Total                              | \$ _____                 | Sub-Total                     | \$ _____                        |
| <b>TOTAL ASSETS</b>                    | <b>\$ _____</b>          | <b>TOTAL LIABILITIES</b>      | <b>\$ _____</b>                 |
|  |                          | <b>NET WORTH</b>              | <b>\$ _____</b>                 |
|  |                          | (Assets minus Liabilities)    |                                 |

|   |  |
|---|--|
| Minimum monthly income necessary to support your living                             | \$ _____   |
| Cash available for investment into the business                                     | \$ _____   |
| Will Russell Watergardens & Koi be your only source of income?<br>Please elaborate: | <input type="checkbox"/> Yes <input type="checkbox"/> No |

## BUSINESS RELATIONSHIPS

1. Have you ever owned or been a partner in a business? Yes No

If yes, what type: \_\_\_\_\_

2. Have you ever filed bankruptcy? Yes No

If yes, please give details and include any remaining liabilities: \_\_\_\_\_

\_\_\_\_\_

3. Have you ever been convicted of a crime? [Aside from traffic misdemeanors] Yes No

If yes, explain: \_\_\_\_\_

\_\_\_\_\_

4. Are there, or have there ever been any lawsuits against you or that you have initiated?

If yes, explain: \_\_\_\_\_

\_\_\_\_\_

5. Have you or any of your family ever worked in the pond and water feature industry? Yes No

If yes, elaborate: \_\_\_\_\_

\_\_\_\_\_

6. Please describe any experience that you have in sales, marketing, retailing or customer service that will help you operate a Russell Watergardens & Koi.

\_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

7. Please tell us why you are looking for a franchise business opportunity. \_\_\_\_\_

\_\_\_\_\_

8. What do you like about the Russell Watergardens & Koi concept? \_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

9. What does "franchising" mean to you? \_\_\_\_\_

\_\_\_\_\_

10. In your opinion, how would you describe the roles of the Franchisor and Franchisee in a Franchise System?

\_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

11. Do you understand that the success or failure of your business is primarily your responsibility?

Please comment: \_\_\_\_\_

\_\_\_\_\_

12. What are your goals and objectives for the next 5 years? \_\_\_\_\_

\_\_\_\_\_

13. There are some basic ingredients to every successful business. If you are granted a franchise, what would you do to make it successful?

Please describe in detail: \_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

14. Please list the markets where you prefer to locate a Russell Watergardens & Koi franchise:

A] \_\_\_\_\_ B] \_\_\_\_\_ C] \_\_\_\_\_

15. If there are not opportunities available in your preference markets, are you willing to relocate in order to become a Russell Watergardens & Koi Franchisee?  Yes  No

16. Are you interested in more than one outlet?  Yes  No If yes, how many? \_\_\_\_\_

17. Do you plan to devote full time to this business venture?

18. Will your spouse/partner be active in the franchise?

19. When will you be available to open the business?

Immediately  Within 3 months  Within 6 months  Within 1 year

20. When would you be able to participate in training?

Immediately  Within 3 months  Within 6 months  Within 1 year

**PERSONAL REFERENCES**

Name Telephone Number Association

- 1. \_\_\_\_\_
- 2. \_\_\_\_\_
- 3. \_\_\_\_\_

Please note:

Russell Watergardens & Koi does not guarantee the financial performance of any of the franchises or stores. The decision to become a Russell Watergardens & Koi Franchisee and to open a Russell Watergardens & Koi outlet must be based on franchisee's independent research and analysis. Russell Watergardens & Koi is not liable for any representation made by a Russell Watergardens & Koi franchisee or Russell Watergardens & Koi employee with respect to real estate, financial operations or marketing performance. By submitting this Request for Consideration form, you authorize Russell Watergardens & Koi to perform a credit inquiry using third-party credit reporting agencies.

SIGNATURE

DATE SIGNED

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Russell Watergardens Franchise Corp.  
Attention: Director of Franchising  
16541 Redmond Way #436-C  
Redmond, WA 98052

# RFIC Authorization

For Release of Personal Data Record Information

In connection with my Request For Information & Consideration [RFIC] for a franchise with Russell Watergardens & KOI, I hereby authorize Russell Watergardens & KOI, or its agents, to contact any present or past employer, school, financial institution, law enforcement agency, reference or any other person, firm or corporation.

I authorize and request any of the firms or persons contacted to provide all information concerning me, and I hereby release said firms, institutions and their agents and employees from all liability and responsibility from releasing this information. I understand such reports may contain information concerning my school work, my work habits, character or skill, credit history or criminal history. Russell Watergardens & KOI agrees to restrict the use of this information only to the evaluation of my Application for a Russell Watergardens & KOI franchise.

I am willing and request that a photocopy of this Authorization be accepted with the same authority as the original.

I further authorize Russell Watergardens & KOI, or its agent, to release to prospective financial sources such financial and other information concerning me in their files as may be requested.

**WITH THIS AUTHORIZATION INCLUDE A COPY OF THE DRIVERS' LICENSES OF EACH PERSON AND THEIR SOCIAL SECURITY CARDS. If no social security card[s] is/are available provide a copy of the first page of each person's federal tax return.**

Print Name: \_\_\_\_\_

Date of Birth: \_\_\_\_\_

Social Security Number: \_\_\_\_\_

Signature[s]: \_\_\_\_\_

Date: \_\_\_\_\_